

Poetic Rhyme Reflects Cross-Linguistic Differences in Information Structure[☆]

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Abstract

Identity rhymes (right/write) are considered satisfactory and even artistic in French poetry but are considered unsatisfactory in English. This has been a consistent generalization over the course of centuries, a surprising fact given that other aspects of poetic form in French were happily applied in English. This paper puts forward the hypothesis that this difference is not merely one of poetic tradition, but is grounded in the distinct ways in which information structure affects prosody in the two languages. A study of rhyme usage in poetry and a perception experiment confirm that native speakers' intuitions about rhyming in the two languages indeed differ, and a further perception experiment supports the hypothesis that this fact is due to a constraint on prosody that is active in English but not in French. The findings suggest that certain forms of artistic expression in poetry are influenced, and even constrained, by more general properties of a language.

Keywords: rhyme, information structure, focus, givenness, poetry

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1 **1. Rhymes, Identical and Other**

2 Rhymes can be defined as a pair of words that are phonologically identical
3 from the last accented vowel to the end of a word (light/night); they typically
4 occur at the end of a line in poetry (Fabb, 1997, 118). Identity rhyme—a
5 rhyme in which the syllable onsets preceding the accented vowels are identical
6 (write/right)—is commonly used in French poetry, while in English poetry
7 it is considered to be “unconventional and even unacceptable” (Small, 1990,
8 141) and to “fall ridiculously flat” (Hollander, 1985, 118).

9 Poetic devices such as rhyme and alliteration (words beginning with the
10 same onsets) have been argued to not just enhance aesthetic experience but
11 also to affect comprehension and recall (Lea et al., 2008). Allopenna et al.
12 (1998) found that rhyming competitors are activated in word recognition,
13 suggesting that rhyme plays a role in the organization of the mental lexicon.
14 Steriade (2008) presents evidence that rhymes are relevant for the phonology
15 of a language even outside of poetry. None of these extra-poetic functions of
16 rhyme, however, have been shown to explain the cross-linguistic differences
17 between what counts as a good rhyme.

18 Hollander (1989, 14) employs an instance of a rhyme consisting of two
19 homophonous words—a special case of an identity rhyme—in order to advise
20 against its usage:

- 21 (1) The weakest way in which two words can chime
22 Is with the most expected kind of rhyme—
23 (If it’s the only rhyme that you can write,

24 A homophone will never sound quite right.)

25 Holtman (1996, 187) and Small (1990) argue that the scarce uses of identity
26 rhyme attested in English generally reveal an awareness that they violate an
27 expectation. This is similar to a conscious violation of a metrical expectation
28 in order to convey a poetic effect, which is sometimes seen in poetry with a
29 fixed meter (Halle and Keyser, 1971). In other words, both the scarcity and
30 the nature of use of identity rhyme in English poetry reflect its stigmatized
31 status. An antipathy for identity rhyme in English may have existed as early
32 as 1584, when King James issued a treatise proscribing the practice: “That
33 ye ryme nocht twyse in ane syllabe. As for exemple, that ye make not prove
34 and reprove rhyme together, nor hove for hoveing on hors bak, and behave.”
35 (see Rait 1900 for the original text).

36 Identity rhymes have to be distinguished from repetitions of the same
37 word, since repetition obeys quite different regularities (and has different
38 poetic effects) from rhyme. We will consider only identity rhymes of words
39 that differ in meaning.

40 Interestingly, it is only rhymes preceded phonologically by identical onsets
41 (right/write) that are considered weak, while rhymes that merely extend into
42 the onsets but do not have identical onsets (‘rimes riches:’ train/rain) are
43 unexceptional and quite commonly used in English:

44 (2) I have looked down the saddest city lane.

45 [...]

46 And dropped my eyes, unwilling to explain.

47 *From: Robert Frost, Acquainted with the Night*

48 In French, in contrast to English, identity rhymes are unexceptional and often
49 said to be even superior to simple rhymes. Aroui (2005) notes that identity
50 rhymes do not seem to be used for a particular effect or with a particular
51 pattern of recurrence, suggesting they are considered normal rhymes.¹ It is
52 easy to find identity rhymes in French poetry, for example they occur quite
53 frequently in the poetry of Émile Nelligan, a poet from Québec:

54 (3) [...]
55 vocalise d'une voix d'eau d'or
56 [...]
57 Soupire et rit dans la nuit qui dort.
58 *From: Émile Nelligan, Vasque*

59

60 The first part of this paper aims to establish that indeed the languages differ
61 in their rhyming repertoire, first by looking at the usage of identity rhymes
62 in English and French, and second by using experimental evidence that na-
63 tive speakers of the two languages sharply differ in their intuitions about the
64 quality of identity rhymes. This difference is surprising given the persistent
65 influence of French poetry on English poetry. The second part of this paper
66 proposes a novel account that relates the difference in identity rhyme usage
67 to a difference in how prosody reflects information structure in the two lan-
68 guages (Ladd, 2008), and presents supporting experimental evidence for this

¹Repetitions, on the other hand, are considered a banal form of rhyme also in French (Elwert, 1965, 88).

69 explanation.

70 **2. French and English Poets Differ in their Use of Rhyme: A Nat-** 71 **ural Experiment**

72 How different are the usages of rhymes in English and French? Since the
73 poetry produced by individual authors varies along many dimensions, it is not
74 easy to assess whether and to what extent these two languages differ in their
75 overall use of rhymes, especially since modern poetry often does not employ
76 rhyme at all. In order to quantify the difference in a more controlled way, we
77 looked at translations of a German children's book, Wilhelm Busch's *Max*
78 *& Moritz* (first published 1865), which comprises 208 couplets, all of which
79 rhyme and none of which are identity rhymes. In German, identity rhymes
80 are considered weak, just like in English.

81 We chose this particular book because we assumed that the genre of a
82 humorous (albeit a bit gruesome) children's book would allow for a playful
83 use of rhymes, so we expected substantial variation in rhyme usage across
84 different translators. Also, we were confident that there would be a suffi-
85 cient number of translations into both languages to compare the variability
86 of rhyme usage within a language against the variability across language
87 boundaries. The corpus of translations of this book constitutes a natural
88 experiment in the usage of different rhyme types.

89 *2.1. Materials & Methods*

90 We were able to obtain 6 translations into English and 5 into French
91 (listed in the appendix). Almost all translations were rhymed and consisted
92 of a comparable number of couplets. One French translation was very loose

93 and used hardly any rhymes, so we excluded it from analysis. The other
94 books were scanned, and the text was hand-annotated for rhyme types by
95 the authors and double-checked by a research assistant.

96 *2.2. Results & Discussion*

97 The distribution of rhyme in our mini-corpus confirm that there is a dra-
98 matic difference in the usage of identity rhymes between the two languages.
99 Table 1 summarizes the usage of rhymes in different translations. In English,
100 many translations have no identity rhymes, like the German original, one
101 had 1 (0.5%) and another 3 (1%). In French, on the other hand, identity
102 rhymes account for 16–36% of all couplets.

103 This consistent difference in identity rhyme usage between all English
104 and French translators contrasts with the usage of ‘rimes riches’ in the same
105 translations. Rimes riches are used with comparable frequency across all
106 three languages (an average of 3.5% of the rhymes in the English translations
107 and 2.8% in French, compared to 3.4% in the original), while poets within
108 languages vary quite a bit in their use (e.g., between 1.9% and 7% in English).

109 Given the small and unequal sample size and possible difference in vari-
110 ance, we used Welch’s t-test (independent, two-tailed, two-sample) in order
111 to test for significance. The average proportion of identity rhymes in English
112 *vs.* French were significantly different ($t(df \approx 3.01) = -4.8$, $p < 0.02$). The dif-
113 ference in proportions of rimes riches, however, (on average there were slightly
114 *more* in English) was not significant ($t(df \approx 7.9) = 0.85$, $p < 0.42$). These re-
115 sults imply that there is clearly something special about the avoidance of
116 identity rhymes in English.

117 The analysis of our mini-corpus of translations confirms that there is a

Table 1: *Rhyme Usage by Language*

	German	English Translations						French Translations			
		I	II	III	IV	V	VI	I	II	III	IV
total	208	208	198	211	207	188	205	208	209	174	202
rimes riches (%)	3.4	3	5.6	1.9	3.9	2.1	4.3	3.4	2.0	2.0	3.9
identity (%)	0	0.5	0	0	0	0	1.4	35.5	29.2	16.3	15.5

118 dramatic difference in rhyme usage between English and French in that iden-
 119 tity rhymes are avoided in English but are used quite frequently in French;
 120 however, the same is not true with respect to rimes riches, which are used
 121 with comparable frequency.

122 *2.3. The Role of the Lexical Rhyming Resources of a Language*

123 When assessing the rhyme inventories of a language it is very informative
 124 to consider the lexical statistics and phonotactics. In a language like French,
 125 in which word-stress is always final, a rhyme involves the final part of the
 126 last syllable of a line starting from the stressed vowel: a ‘masculine rhyme.’
 127 However, in a language such as English in which stress can fall on pre-final
 128 syllables, this is just a special case; rhymes more generally include all material
 129 from the last accented vowel to the end of the line, and feminine rhymes (i.e.
 130 in which one more syllables follow the stressed syllable) are quite common
 131 in English (‘double rhymes’, ‘triple rhyme’; ...).² In addition, French has

²In cases in which the last accent does not fall on the last word, a rhyme can even include multiple words, a phenomenon often called ‘mosaic rhyme.’ Here’s one from a Max & Moritz translation:

- (i) Hence, the village folk commend him

132 much more restricted phonotactics, so the number of possible rhymes overall
133 is substantially smaller.

134 Given the clear differences in their phonology, could it be that identity
135 rhymes are stigmatized in English because they are simply exceedingly rare
136 compared to the case of French? Maybe rhyme like a bear/to bear are bad
137 because there aren't enough alternatives to choose from, as Luc Baronian
138 (p.c.) and a reviewer suggested. Explanations based on lexical resources
139 were used in Hanson and Kiparsky (1996) to explain how languages pick a
140 particular poetic meter, and it seems plausible that rhyming patterns might
141 work similarly. Kiparsky & Hanson argue that there is a balance between the
142 fit between lexicon and meter (language select meters in which their lexical
143 resources are usable in the greatest variety of ways) and interest (all-too
144 obvious poetic tools are not aesthetic).

145 In order to check whether there is a simple explanation for the status of
146 identity rhymes in French and English we estimated the likelihood of rhymes
147 based on word corpora. The French lexicon in Lexique (New et al., 2004)
148 of 142,693 words partitions into 624 rhyme cohorts with a median length
149 of 9, and 4,077 identity rhyme cohorts, with a median length of 4. The
150 English lexicon of 160,595 word forms in Celex (Baayen et al., 1995) partitions
151 into 40,903 rhyme cohorts with a median length of 1, and 62,681 identity

And are eager to befriend him.

For an interesting discussion of this type of rhyme see Hook (2008), with further cross-linguistic evidence that rhymes must be defined based on the location of the last accentual peak, just like in English and French.

152 rhyme cohorts, also with a median length of 1. Clearly, the languages differ
153 dramatically in their rhyming resources, but an obvious explanation for why
154 identity rhyme in particular should be stigmatized in English does not emerge
155 from these numbers: If rhymes in language were good when they are likely
156 to occur by accident, then English should not be a rhyming language at all,
157 since rhymes are hard to come by and they are comparatively contrived; if
158 rhymes were better when they were *infrequent* because they're harder to find
159 and hence more aesthetic, then identity rhymes should be *better* than non-
160 identical rhymes, because they're harder to find in both French and English.

161 Most identity rhymes in the French translations are non-homophonous
162 identity rhymes. In English, even non-homophonous identity rhymes are
163 considered weaker than normal rhymes; for example, many speakers find
164 *moat/remote*, *retire/attire*, and *saloon/balloon* to be weak rhymes, although
165 these pairings may not be as bad as fully homophonous identity rhymes.³ In
166 our mini-corpus 3 out of 4 French translations had homophonous rhymes (2
167 on average) while one one out 6 English translation had any homophonous
168 rhymes.⁴

³It might also be that *remote/moat* is worse than *retire/attire* because only one word contains a distinguishing additional syllable, as a reviewer pointed out. As we will see, our experiments included only one non-homophonous rhyme in each language. For these, we did not find a difference, but more data would be necessary here. See also footnote 8 on French.

⁴It contained the same rhyme twice: *two/too*. This is an interesting rhyme because the two words occur in syntactically very different positions. The word 'two' was part of an NP argument, while 'too' attaches at the sentence level. This difference results in a substantial acoustic difference in terms of length and pitch, which makes them less

169 Given the low number of uses, could it be that homophone-rhymes are
170 avoided also in French? In order to estimate whether the usage of ho-
171 mophonous identity rhymes in French was higher or smaller than expected by
172 chance, we estimated how likely it is that an identity rhyme is a homophonous
173 identity rhyme by counting rhyme-types in a French word-corpus, Lexique.
174 We found that about 0.01% of the identity rhyme cohorts are homophonous
175 rhymes in French, while in our mini-corpus of poetry translations an average
176 4.9% of identity rhymes were homophonous, suggesting that homophonous
177 identity rhymes are used much more frequently than expected based on their
178 probability, and suggesting that they are not avoided in French.

179 The question of how exactly to quantify rhyme likelihood is complex.
180 For example, one might want to consider word frequencies, morphological
181 relatedness and other factors.⁵ A thorough analysis would easily fill a sep-
182 arate article on the topic. However, it seems safe to conclude that French
183 and English differ in their use of identity rhyme, and that the lexical and
184 phonological differences alone do not provide an obvious explanation for this
185 difference.

186 **3. French and English Native Speakers Differ in their Intuitions** 187 **about Rhyme**

188 Identity rhymes are all but absent in English, and it is generally assumed
189 that this is not because they are scarce but because they are deemed poor

identical, and hence less of an identity rhyme.

⁵See also an insightful blog-post by Mark Liberman on the Language log:
<http://languagelog.ldc.upenn.edu/nll/?p=1946>

190 and are avoided. In order to establish whether identity rhymes are indeed
191 considered unsatisfactory by English speakers and satisfactory by French
192 speakers, a rating experiment was conducted in which participants listened
193 to and evaluated recorded couplets containing three different rhyme types.

194 *3.1. Participants*

195 Three groups participated in the experiments: native speakers of North
196 American English (born and raised in Canada or the US), native speakers
197 of Québec French, and native speakers of European French. Each group
198 consisted of 24 participants. We excluded two French speakers because they
199 were born and spent part of their childhood somewhere other than France
200 or Québec respectively, based on a questionnaire on language background.
201 We included both European and Québec French speakers in this experiment
202 because we thought that greater exposure to English might exert an influ-
203 ence on Québec French intuitions—we will return to this point later. Most
204 participants were run in the phonetics lab at McGill University, but due
205 to difficulties in recruiting French-speaking participants we ran 14 of our
206 Québec speakers and eight European French speakers in a public building in
207 Montréal, and 12 of our European French participants were run in a public
208 library in Aix-en-Provence, France.

209 *3.2. Materials & Procedure.*

210 Each participant listened to 15 mini-poems. The items varied by 3 con-
211 ditions across participants:⁶

⁶A list of items for all experiments is posted at <http://mit.edu/~chael/Public/rhyme/>.

212 (4) *Identity Rhyme:*

213 The gardener watered the soil, then rose
214 and picked a single crimson rose.

215 *Good Rhyme:*

216 Pat inhaled deeply through her nose
217 and picked a single crimson rose.

218 *Bad Rhyme*

219 She strolled through the garden when she woke
220 and picked a single crimson rose.

221 All stimuli were original compositions. In both English and French, all iden-
222 tity rhymes but one were homophonous, but all differed in their meaning.
223 We focused on homophonous identity rhymes because they form particularly
224 spectacular illustrations of the difference between the two languages. We
225 tried to avoid identity rhymes that were similar in meaning since semantic res-
226 onance might interact with rhyme (see Wimsatt, 1954). Some of our rhymes
227 were morphologically related. The English stimuli were recorded by a female
228 native speaker of English, and the French stimuli by a female native speaker
229 of European French. Participants were told that the rhymes were chosen by
230 non-native speakers, and they were to evaluate whether these rhymes were
231 satisfactory rhymes in English/French based on their native-speaker intu-
232 itions (they were debriefed after the experiment). This was intended to put
233 participants into a position of feeling like an ‘expert’ qualified to evaluate
234 the rhymes.

235 Each experiment commenced with a practice session of four couplets, to
236 familiarize participants with the procedure. Participants listened to each

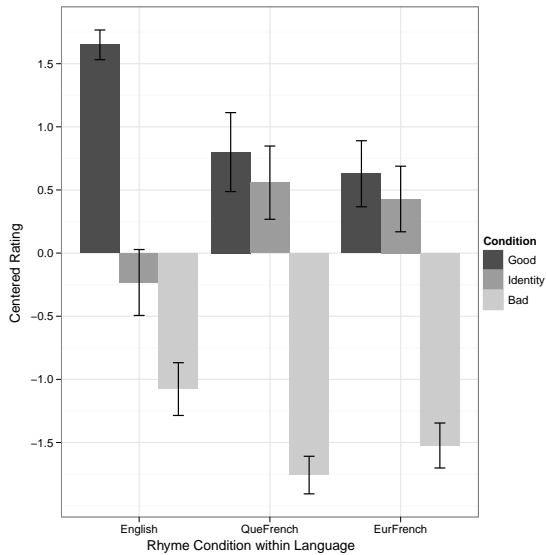


Figure 1: Average centered ratings obtained in the rhyme experiments. Participants rated the utterances on a scale from 1 (very poor) to 5 (very good); the ratings were centered for analysis to a scale ranging from -2 to 2.

237 stimulus via Logitech USB headset, and evaluated the acceptability of the
 238 rhyme on a scale ranging from 1 (very bad) to 5 (very good) by clicking
 239 the appropriate numbered box on the screen. The experiment was run using
 240 experimental scripts in the speech analysis program Praat (Boersma and
 241 Weenink, 1996).

242 3.3. Results

243 The plots displayed in Figure 1 show that English speakers rate iden-
 244 tity rhymes along with bad rhymes as being relatively unacceptable, while
 245 both Québec French speakers and European French speakers do not reliably
 246 distinguish in acceptability between identity rhymes and good rhymes.

247 The data were analyzed using a mixed-model regression analysis, control-

248 ling for item and subject as random effects, and adding condition (‘good’,
249 ‘identity’, ‘bad’), language (‘English’, ‘EurFrench’, ‘QueFrench’) and their
250 interaction as fixed effects.⁷ We tested the significance of the interaction
251 between condition and language by comparing a regression model including
252 the interaction and one excluding it using log-likelihood ratio test, showing
253 a highly significant difference ($\chi^2(5, 17) = 133.1, p < 0.001$). The difference
254 in mean rating between ‘good’ and ‘identity’ in English differs significantly
255 from the difference in rating in these two conditions in European French
256 ($t = 5.4, p < 0.001$) and Québec French ($t = 5.0, p < 0.001$). We also com-
257 puted mixed models within each language, and the difference between ‘good’
258 and ‘identity’ was significant in English ($t = -16.80, p < 0.001$), but not in
259 European French ($t = -1.4, p < 0.15$) or Québec French ($t = -1.7, p < 0.09$).

260 The results are just as expected given the hypothesis—but could it be
261 that factors other than phonological identity influence the judgments? In
262 our English data, 6 out of the 15 identity rhymes involved morphologically
263 related words, and one additional rhyme was orthographically identical. One
264 might think that morphologically related rhymes are worse than less related
265 identity rhymes. However, there was no significant difference between the two
266 groups of items in English: morphologically unrelated and orthographically
267 distinct identity rhymes were rated just as bad as morphologically related

⁷We used the ‘lmer’ function of the lme4 package in R. The model we used looked as follows: `model.lm <- lmer(response ~ language*condition + (1|item) + (1|subject), data = data.rhyme)`. Baayen et al. (2008) note that in a mixed-model regression a comparison can be considered significant if the t-value for a comparison exceeds the absolute value 2. In addition, we also report a conservative estimate of a p-value based on mcmc-sampling.

268 or orthographically indistinct ones, suggesting that the infelicity of identity
269 rhymes is not driven by morphological or orthographic factors.⁸

270 Only one item each in English and French included a non-homophonous
271 identity rhyme. These items showed the same pattern—the non-homophonous
272 identity rhyme was rated as much worse than the good control in English
273 but rated as good (in fact, even slightly better than the good control) in
274 French. This suggests that non-homophonous rhymes pattern no differently
275 from homophonous ones: identity rhymes are bad in English but good in
276 French.⁹

277 3.4. Discussion

278 Our findings confirm the widely held assumption that identity rhyme is
279 a satisfactory form of rhyme to native speakers of French, but not to native
280 speakers of English. Although not significantly different from the European

⁸Thanks to Marie-Hélène Côté for pointing out that two of our rhymes rhyme in European French but not in Québec French pronunciation. Since our speaker was European French, it is unlikely that this would have affected the outcome. The response pattern for the Québec listeners did not show any sign that they treated them differently than the European listeners.

⁹In order to further test whether homophonous *vs.* non-homophonous identity rhymes are different, we recorded a set of 17 French couplets with identity rhymes from our corpus; 6 were homophonous, 5 rhymed by virtue of a grammatical ending, and 6 were other non-homophonous identity rhymes. We had them rated by 12 native speakers of French (6 from Québec and 6 from France). The mean ratings were between 4.0 and 4.3 for the three groups, with no significant difference between them, suggesting that all three types of identity rhymes are considered good in French. We have not yet conducted a comparable study for English.

281 French pattern, the pattern of the Québec French speakers tends a bit more
282 in the direction of English—we will return to this difference below.¹⁰

283 4. A Prosodic Difference Between English and French

284 Why did identity rhyme not catch on in English, despite the substantial
285 and sustained influence of French poetry on English writing over the course of
286 centuries? The influence was so strong—and asymmetric—that Ezra Pound
287 quipped in a 1913 article that “the history of English poetic glory is a history
288 of successful steals from the French” (cited after Pondrom 1974). Does the
289 difference in opinion about identity rhymes reflect mere aesthetic or stylistic
290 variation in poetic traditions, or even, as Richardson (1909) argues, the force
291 of King James’s very decree upon English literary practice?

292 Our hypothesis is that differences in information structural effects on
293 prosody are the actual explanation of this difference. In English and French,
294 the last accent in an utterance usually falls on the stressed syllable of the last
295 word. In English, however, words or constituents that are highly accessible
296 in the discourse (or “given”) often remain unaccented, or are “deaccented,”
297 and have reduced prominence (cf. Halliday 1967; Selkirk 1995; Schwarzschild
298 1999). See Cutler (1997) and Wagner and Watson (2010), for overviews of
299 the experimental literature on the topic, and and Xu and Xu (2005) for a
300 recent discussion of the phonetic realization of this type of reduction. In fact,

¹⁰A reviewer points out that the particular meter of our poems may have added to the observed effects, since a prominent beat at the end of the line adds salience to them. A follow-up manipulating different meters could test this idea. It seems unlikely, however, that the effect would completely disappear with a different meter.

301 destressing given material is usually obligatory when it is possible. This can
302 be seen as a result of the ‘given-new contract’ (Clark and Haviland, 1977),
303 which requires that when it is possible to mark information as given and link
304 it to an antecedent in the discourse context it must be marked as such (cf.
305 Williams, 1997). We will refer to this phenomenon henceforth as *anaphoric*
306 *destressing* (following Rooth, 1996, i.a.):

307 (5) An AMERICAN farmer met a CANADIAN farmer.

308 Accents are marked with small-caps, destressing with underlining. While
309 the last accent within each noun phrase would usually fall upon the last
310 word of the phrase (American FARMER, Canadian FARMER), in (5) the word
311 farmer remains unaccented, highlighting the informational contrast between
312 *American* and *Canadian* (cf. Ladd, 2008, and references therein). This type
313 of anaphoric destressing, however, has been shown not to occur in various
314 Romance languages (Ladd, 2008; Swerts et al., 2002; Swerts, 2007), including
315 French. In example (6), accentual prominence remains on the rightmost
316 content word in both phrases (*américain*), even though this information is
317 contextually given.¹¹

318 (6) Un flic AMÉRICAIN a rencontré un fermier AMÉRICAIN.
a policeman American has met a farmer American
319 ‘An American policeman met an American farmer.’

¹¹Note that in a French noun phrase the adjective usually follows the noun it modifies, in contrast to English, but this is not crucial here. See (Ladd, 2008) for discussion of a variety of examples with parallel word orders.

320 Information structure thus does not affect prosody in French the same way
321 as it does in English. But how does that relate to identity rhymes? Identity
322 rhymes differ from typical cases of anaphoric destressing in that they are
323 odd even when involving words identical in sound but different in meaning,
324 as in (1). If anaphoric destressing prohibits accents on constituents encoding
325 contextually given information, why would this be relevant for words that
326 merely sound the same but mean something different, and even for words
327 that are identical only from the accented syllable on?

328 This brings us to an interesting quirk of English (and other Germanic lan-
329 guages): focus/givenness-marking seems to have been generalized to given-
330 ness at the phonological-form-level. Ladd (2008, 234), for example, gives the
331 following observation from a BBC broadcast, in which stress on *Titanic* shifts
332 to the first syllable marking the contrast to *Brittanic*:

333 (7) Greek divers have found the wreck of the British liner Brittanic, sister
334 ship of the TItanic ...

335 Williams (1980, 1997) observes, crucially, that there are cases in which a
336 sentence is infelicitous when a clause in a word that is phonologically identical
337 with a salient previously mentioned word.¹² In (8c), semantically, an accent
338 on the final word should be acceptable because it contrasts with another word
339 in the context (just as in (8a) and (8b)), but the fact that the previous clause
340 happens to end with the same accented word prohibits that pronunciation:

¹²The effect seems to be strongest the antecedent was at the end of a bigger previous prosodic domain.

- 341 (8) a. JOHN hugged MARY, and then MARY hugged JOHN.
342 b. JOHN hugged MARY, and then JOHN was hugged by HER.
343 c. #JOHN hugged MARY, and then JOHN was hugged by MARY.

344 Our claim is that the infelicity of identity rhymes is just another case of infe-
345 licity due to phonological givenness, a phenomenon we will dub the ‘Williams-
346 effect.’ If this is correct, then only languages that show the Williams-effect
347 should show a stigmatization of identity rhyme.

348 The Williams-effect is likely to be orthogonal to the ‘repeated names
349 penalty’ observed in the literature on the usage of pronouns versus full proper
350 names (Gordon et al., 1993; Gordon and Chan, 1995). The use of a full name
351 as opposed to a pronoun has been shown to result in longer reading times,
352 both in subject and direct object position, when the previous sentences had
353 a co-referent subject. Based on this definition, in the paradigm in (8) *all*
354 sentences should incur a repeated names penalty. Also, it would be unclear
355 why deaccenting *by Mary* substantially improves (8c).¹³ And furthermore,
356 this alone would not explain why, at least according to Williams, the effect
357 can also be observed with pronouns:

- 358 (9) a. JOHN hugged MARY, and then MARY hugged JOHN.
359 b. JOHN hugged MARY, and then JOHN was hugged by HER.
360 c. #JOHN hugged HER, and then JOHN was hugged by HER.

¹³In a production study, not reported here, we found that speakers pronounce sentences like (8a) and (8b) with an accent on the the final word, while in (8c) prominence shifts to the preposition *by* or the predicate *hugged*, so the infelicity of (8c) is indeed at least to a large extent due to a lack of anaphoric destressing.

361 Let's suppose nevertheless that it was indeed the case that the paradigm in
362 (8) illustrates purely an effect of the repeated names penalty—then English
363 and French should not differ with respect to the Williams effect, since French
364 has also been reported to show a repeated names penalty (Fossard 1999). Our
365 hypothesis makes a different prediction: if identity rhyme indeed is good in
366 French and bad in English, then the Williams-effect should exist in English
367 but not in French. The following section reports a perception experiment
368 testing for the Williams-effect in both English and French.

369 **5. The Williams-effect and the (In)Felicity of Identity Rhymes**

370 Our second perception experiment tested for the presence of the Williams-
371 effect in non-poetic contexts in all three languages. Based on our hypothesis
372 that identity rhymes in English are considered weak because of the Williams-
373 effect, we predicted that it should be present in English, just as Williams
374 (1980) hypothesized, and absent or at least weaker in French.

375 *5.1. Materials and Method*

376 Our stimuli consisted of two sentences conjoined by *and*. Again, there
377 were three conditions: this time 'contrast,' 'Williams,' and 'anaphoric.' In
378 the 'contrast' condition, an accented final noun phrase contrasted with the
379 noun phrase ending the previous clause. In our 'Williams' condition, both
380 sentences ended with the same accented NP, the second instance contrasting
381 with the NP carrying the same thematic role in the previous sentence. Fi-
382 nally, in our 'anaphoric' condition, both ended with the same accented NP,
383 without any contrast:

- 384 (10) *Contrast*: JOHN hit SUE, and then JOHN was hit by MARY.
385 *Williams*: JOHN hit MARY, and then JOHN was hit by MARY.
386 *Anaphoric*: JOHN saw MARY, and then JOHN was hit by MARY.

387 The accent on *Mary* in the ‘contrast’ condition was as expected, since *Mary*
388 encodes new information (and it may be employed in contrast to *Sue* or
389 *John* here). In the ‘anaphoric’ condition the accent should be infelicitous:
390 since *Mary* encodes old information, the name should be deaccented. In
391 our ‘Williams’ condition the contrast to *John* should in principle license
392 the accent on the second instance of *Mary*, despite the fact that it encodes
393 discourse-salient information, just like in condition ‘contrast.’ However, we
394 predicted that English speakers would find it infelicitous due to the Williams-
395 effect.

396 If French indeed lacks anaphoric detressing, then a different pattern is
397 expected. French speakers were predicted to rate both the ‘Williams’ condi-
398 tion and the ‘anaphoric’ condition as more acceptable compared to English
399 speakers. Any deprecation of these conditions would have to be purely due
400 to a repeated names penalty, which does not result in outright infelicity as a
401 failure to do anaphoric detressing does in English.

402 In both English and French, the experiment consisted of 24 items in
403 the respective languages, varying by 3 conditions. Stimuli were recorded
404 by the same speakers as in the rhyme experiment and were rated by the
405 same listeners as in the rhyme experiment. In order to avoid participants
406 guessing that this experiment was somehow related to rhyme, we ran it before

407 the rhyme experiment with each subject.¹⁴ Participants again listened to
408 recordings via headsets and evaluated the acceptability of each stimulus on
409 a scale from 1 to 5, using an experimental script in Praat.

410 5.2. Results and Discussion

411 Figure 2 illustrates the results, which confirmed our predictions, with
412 some qualifications. It is not surprising that even the sentences in the
413 ‘anaphoric’ condition were not rated as very bad (very bad would have been
414 -2, but the mean is around 0.03), since according to our hypothesis this con-
415 dition involved an odd pronunciation of an otherwise acceptable sentence. It
416 is quite clear, however, that the sentence in condition ‘anaphoric’ were rated
417 clearly worse on average than the sentences in condition ‘contrast.’

418 A mixed-model analysis including condition, language, and their interac-
419 tion as fixed effects, and subject and item as random effects, showed a clear
420 interaction between condition and language. The interaction was highly sig-
421 nificant based on a log-likelihood-comparison between a model including the
422 interaction and one excluding the interaction ($\chi^2(4, 12) = 51.1, p < 0.001$).
423 More specifically, the difference between ‘contrast’ and ‘Williams’ in English
424 differs significantly from the difference between these two conditions in Eu-
425 ropean French ($t = 7.2, p < 0.001$) and Québec French ($t = 2.9, p < 0.001$).
426 These results are according our predictions.

427 When looking within language, we found that in English, as expected,

¹⁴In order to assure that the order of experiments did not influence the responses we ran an additional group of 12 English native speakers only on the rhyme experiment, replicating the results of experiment 1.

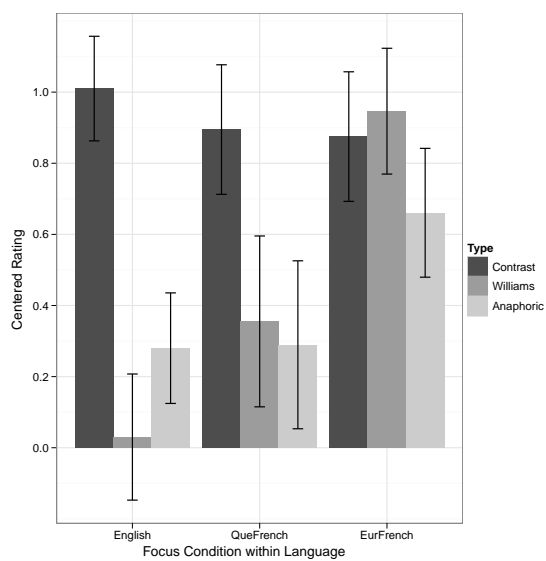


Figure 2: Average centered ratings obtained in the focus experiment. Participants rated the utterances on a scale from 1 (very poor) to 5 (very good); the ratings were centered for analysis from -2 (very poor) to 2 (very good). As the mean values for our centered data all fell between 0 and 1, we display only this range, for ease of comparison.

428 ‘Williams’ is significantly worse than ‘contrast’ ($t = -9.8, p < 0.001$), but
429 not so in European French ($t = 0.65, p < 0.52$). Unexpectedly, however,
430 Québec French patterns with English here in showing a significant difference
431 for this comparison ($t = -4.7, p < 0.001$). Similarly, our ‘anaphoric’ condi-
432 tion turned out to be considered quite bad in Québec French ($t = -5.2, p <$
433 0.001).¹⁵ While the differences between ‘Williams’ and the other two con-
434 ditions are significantly smaller compared to English, it seems as if Québec
435 French came out half-way between the English and the European French
436 pattern.

437 One possible explanation for this difference between Québec French and
438 European French is that the former group has had more exposure to En-
439 glish and may therefore be influenced by the use of anaphoric distressing in
440 that language. In our language questionnaire, speakers of Québec French re-
441 ported higher proficiency in English compared to European French speakers.
442 However, it could also be that Québec French simply differs from European
443 French in the way prosody is affected by information structure.¹⁶

444 We further tested our hypothesis by looking at the correlation between
445 the degree to which there is a Williams-effect and the degree to which iden-

¹⁵It approached significance in European French ($t = -1.9, p < 0.06$) as well. We interpret this as an effect of the repeated-name penalty—note, however, that the means in European French and Québec French are closer than in English, and significantly so according to the mixed model.

¹⁶A reviewer pointed out that it would be interesting to test how rhyme intuitions change depending on L2 proficiency. This could be of interest both in their native language and in the target language. There are a number of other factors that could be looked at, for example age of exposure might be relevant as well.

446 tity rhymes are considered bad for individual subjects. We computed the
447 mean of the z-score of the ratings per condition for each subject in the two
448 experiments. Then we tested how well the mean ratings for the ‘Williams’
449 condition in the focus experiment and the ‘identity’ condition in the rhyme
450 experiment correlated. As predicted, the correlation between the two mea-
451 sures is significant, with $R^2 = 0.13$; $F(1, 63) = 9.8$; $p < 0.003$. Given the small
452 n for this analysis (the data from every participant is reduced to one data
453 point), it is quite striking that we found a significant correlation nevertheless.
454 The correlation was strongest in the Québec French group ($R^2 = 0.17$).

455 Even though there was a correlation between the two effects, the Williams-
456 effect in Québec French was stronger than the weak antipathy for identity
457 rhyme would lead one to expect. Maybe this is due to the fact that Québec
458 French speakers get a lot of positive evidence that identity rhyme is deemed
459 acceptable in French—as we saw, identity rhymes are very common in French
460 poetry. For example, a very well-known French children’s song rhymes *dents*
461 ‘teeth’ with *dedans* ‘within.’ So Québécois speakers may rate identity rhymes
462 as better than would otherwise be expected because they have learned by ex-
463 perience that they are deemed good rhymes.

464 **6. General Discussion**

465 Despite centuries of sustained mutual influence between French and En-
466 glish poetry, identity rhyme remains central within one poetic tradition and
467 marginalized in the other. That the two languages indeed differ dramatically
468 in poetic practice in this regard was confirmed by looking at a set of transla-
469 tions of the same children’s book, a natural experiment in rhyme usage. We

470 then presented evidence from an experiment showing that identity rhyme is
471 deemed satisfactory by native speakers of French but not by native speakers
472 of English.

473 Our proposed explanation for the difference is that identity rhymes sound
474 odd in English because of the overgeneralization of anaphoric destressing
475 first pointed out by Williams. Our second experiment provided the first
476 experimental confirmation of the Williams-effect in English, and also showed
477 that it is absent in European French, and much less pronounced in Québec
478 French. At an individual level, there is a correlation between the degree to
479 which native speakers show a Williams-effect and the degree to which they
480 reject identity rhymes.

481 If our hypothesis is correct, we would expect other Germanic languages
482 to pattern with English, since they show similar patterns with respect to how
483 prosody is affected by information structure, and other Romance languages
484 to pattern with French. While we have not explored these cross-linguistic
485 predictions, suggestive evidence comes from the *Max & Moritz* mini-corpus.
486 For example, the original German text indeed contains no identity rhymes.¹⁷
487 Spanish, on the other hand, patterns with French in its lack of anaphoric
488 destressing (Ortiz-Lira, 1995), so we would predict identity rhyme to be
489 permissible. And indeed, two Spanish translations that we annotated contain
490 12% and 12.2% of identity rhymes respectively. While this rate of identity

¹⁷According to Paul (1893, 114), identity rhyme or ‘rührender Reim’ was not entirely unattested but frowned upon already in the Middle-High-German period when rhyme was first used in German. There are at least ten translations of *Max & Moritz* into German dialects, so one could explore the rhyming patterns in German more.

491 rhyme usage may be smaller than typical values in French, it is more than
492 six times higher than the rate observed in any Germanic version of this
493 poem. Given that Spanish does not always have final stress like French,
494 identity rhymes are much less common in the lexicon, so 12% is a substantial
495 proportion.¹⁸ More cross-linguistic data could further test our claim that the
496 acceptability of identity rhymes correlates with prosodic focus-effects.

497 This paper argues for an intrinsic link between prosodic information-
498 structure effects and constraints on rhymes. We did not offer an explanation
499 of why anaphoric destressing should exist in Germanic languages but not in
500 French and other Romance languages—this is a question that needs to be
501 explored independently. A number of differences between English and French
502 might be relevant here, since they may well influence the use of the prosodic
503 effects of focus and givenness and/or rhyme. The intriguing expectation
504 based on the results of this study is that whatever will explain the difference
505 in the first will by implication account for the second.

506 One possibility is that English and French differ both in their information
507 structure and in their rhyme inventory because of their different prosodic
508 systems. In French, the accent (almost) always falls on the last syllable of
509 a sentence, and the phonology of the language revolves around accentual
510 phrases rather than domains of word stress as in English (Jun and Fougeron,
511 2000). However, it cannot be the particulars of French phonology alone
512 that explain its lack of anaphoric destressing, since Italian and Spanish have

¹⁸None of the identity rhymes in Spanish were homophones, although many involved a single word rhyme (like *remote/moat* in English. Homophones are rare in Spanish compared to French, so one cannot conclude from this that homophonic rhymes are avoided.

513 word-stress systems but both lack anaphoric destressing. This also speaks
514 against an explanation of a lack of destressing in terms of a ‘destressing-
515 deafness,’ as it was reported for French in Dupoux et al. (1997). French native
516 speakers were found to ignore differences in accent placement, in contrast to
517 Spanish speakers who were found to be sensitive to stress location—but if
518 this were to explain the lack of anaphoric destressing, then Spanish should
519 pattern with English in this regard, contrary to fact. For the same reason an
520 explanation in terms of the likelihood of homophones seems doubtful. While
521 French is has a high number of homophones compared to English—a well-
522 known problem for automatic speech recognition in French (see Lamel and
523 Gauvain, 1993)—other Romance languages seem to pattern with English in
524 terms of the likelihood of speech recognition errors resulting from homophony
525 (Barnett et al., 1996), so homophone frequency does not appear to correlate
526 with the presence/absence of anaphoric destressing.

527 A possible reason Romance languages might work differently in their
528 prosodic information structuring is that they are highly inflected and word-
529 stress tends to fall on one of the last syllables. This has the effect that sen-
530 tences ending with identical rhymes by virtue of their grammatical endings
531 (so called ‘homoeoteleutons’) occur with some frequency. So maybe apply-
532 ing an English-style focus constraint in a Romance language would result in
533 too many ‘false alarms’ due to the Williams-effect, that is, deaccenting for
534 phonological reasons would be quite frequent rather than being the exception
535 as in English. This hypothesis seems quite plausible, and would provide an
536 explanation in terms of lexical resources after all, but one in terms of how
537 they interact with information structuring rather than in terms of how they

538 directly influence the likelihood of certain rhymes. In fact, Wimsatt (1954)
539 relates the fact that Chaucer employed identity rhyme quite frequently to
540 the fact that Middle English still had more stressed suffixal endings (see
541 also: Holtman 1996: 177). This type of explanation would only explain
542 the absence of anaphoric destressing, however, if somehow an English-style
543 anaphoric destressing rule *necessarily* goes hand-in-hand with the Williams
544 effect, which current theories of focus-marking would not lead one to expect.¹⁹

545 The contribution of this paper is to show that an otherwise puzzling
546 difference in the rhyming patterns in French and English can be explained as
547 an effect of an independently established difference in anaphoric destressing—
548 the question of what explains this difference in information structure itself
549 remains open. That patterns of artistic expressions are grounded in linguistic
550 patterns of the artist’s native language has also been found in music (Patel
551 and Daniele, 2003), and it should come as no surprise then if the same holds
552 true for linguistically expressed art. The restrictions on identity rhymes
553 across languages constitute further evidence that a better understanding of
554 the linguistic system of a language can illuminate the study of poetry and
555 vice-versa, as advocated by Jakobson (1960), and that “a good number of
556 what we think of as traditional and arbitrary conventions [on poetic form] are
557 anchored in grammatical form, and seem to be, at the bottom, a consequence

¹⁹An additional factor that could be relevant is that syllable structure is delineated more crisply in French (Cutler et al., 1986), and plays a crucial role in speech segmentation. A difference in segmentation strategies could affect intuitions about rhymes, although it is not obvious how this will translate into an alternative explanation for the patterns observed here, or the correlation with information structuring.

558 of how language itself is structured” (Kiparsky, 1973, 11).

559 **Appendix: Max & Moritz and its Translations**

560 *German Original:* Wilhelm Busch, 1865. Max & Moritz. Eine Bubengeschichte
561 in sieben Streichen. Reprinted in: Max Görlach (Ed.), 1994: Max & Moritz
562 polyglott. 12th edition (first edition 1982). München: Deutscher Taschen-
563 buchverlag.

564 *English 1:* Walter W. Arndt, 1982. Max & Moritz. A story of two rascals in
565 seven tricks. In: W. W. A., The Genius of Wilhelm Busch. The Regents of
566 California Press. Reprinted in: Görlach, 1994.

567 *English 2:* Elly Miller, 1981. Mac and Murray. A Tale of Two Rascals, in
568 Seven Episodes. Reprinted in: Görlach (Ed.), 1986: Wilhelm Busch’s Max
569 and Moritz in English Dialects and Creoles. Hamburg: Buske.

570 *English 3:* Charles T. Brooks, 1871. Max and Maurice. A Juvenile History
571 in Seven Tricks. New York: Roberts.

572 *English 4:* Wilhelm Busch, 2003. Max and Moritz and Other Bad-Boy Stories
573 and Tricks. Translated from the German by Andy Gaus. Rockville, MD:
574 James A. Rock & Co.

575 *English 5:* Wilhelm Busch, 1962. Max and Moritz. With many more mischief
576 makers more or less human or approximately animal. Edited, annotated, and
577 translated by H. Arthur Klein and others. New York: Dover.

578 *English 6:* Wilhelm Busch, 1996. Max und Moritz auf englisch. Englische
579 Nachdichtung von Percy Reynolds (Max and Moritz. A Tale of Two Scamps
580 in Seven Pranks). Stuttgart: Reclam.

581 *French 1:* Jean Amsler, 1981. Max et Maurice. Histoire de gamements en

582 sept farces. First publication in: Görlach, 1994.
583 *French 2*: Henri Mertz, 1982. Max et Maurice. Histoire de deux petits
584 espi'egles. In: Görlach, M., 1994b: Max und Moritz in Romanischen Sprachen.
585 Essen, Blaue Eule.
586 *French 3*: Wilhelm Busch, 1978. Max et Moritz. Adapté de l'allemand par
587 Cavanna. Paris: Mouche.
588 *French 4*: André Thérive, 1952. Max et Maurice, ou les sept mauvais tours
589 de deux petits garçons. Adapté par A. T. Paris: Ernst Flammarion. Reprint:
590 Munich, Braun &: Schneider, 1965.
591 *French 5*: Duchesne, Christiane, 2002. Max et Maurice en sept mauvais
592 coups. Adapté librement de Wilhelm Busch.
593 *Spanish 1*: Víctor Canicio, 1982: Max y Moritz. Una historieta en siete
594 travesuras. In: Görlach, M., 1982.
595 *Spanish 2*: Rosa Enciso und Guido Mensching, 1990. Paco y Pedro. La his-
596 toria de dos pillos es siete travesuras traducida por R.E. y G.M. In: Görlach,
597 M., 1994b.
598
599 *More translations of Max & Moritz into these languages are listed in Görlach*
600 *(1994), but we have not yet been able to obtain them.*

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